

Risks: People Risk Register

Risk status (score)	Overdue (0 - 0)	Low (1 - 9)	Medium (10 - 14)	High (15 - 23)	Very high (24 - 30)
Mitigating controls	Completed	Green	Amber	Red	

Risk details	Status and Risk owner	Mitigating controls
<p>TG20: Market capacity adult social care (Residential And Nursing Care)</p> <p>The supply of residential and nursing care of the right quality is currently stretched in some parts of Devon increasing the risk that we cannot achieve safe discharge from hospital. Difficulties in recruiting care staff further increases this risk.</p>	<p>Inherent status: 30 Very high</p> <p>Current status : 30 Very high</p> <p>Risk owner: Tim Golby</p> <p>Accountable officer: Ian Hobbs</p> <p>Last review: 24 Oct 2016</p>	<p>Green Capital investment programme led by BR Team to increase capacity in areas of highest need</p> <p>Green New care homes contract including block bed provisions from 2017-18</p> <p>Green Working with CCGs re intermediate care to ensure fit to contract</p> <p>Green Improving relationship with the market via sector lead to increase market engagement</p> <p>Green Fee uplift has stabilised market failure</p> <p>Green Workforce development programme being extended to private sector</p>
<p>JO23: Children's and Adult services budget pressures and allocation</p> <p>Impact across People's Services of budget pressures and allocation issues within children's services. Significant overspends are currently being forecast across a range of Education transport and Social Care budgets, which threaten the financial stability of People's Services.</p>	<p>Inherent status: 30 Very high</p> <p>Current status : 30 Very high</p> <p>Risk owner: Jo Olsson</p> <p>Accountable officer: Jennie Stephens</p> <p>Last review: 09 Aug 2016</p>	<p>Green Regular financial performance reporting to CLT</p> <p>Green Weekly children's social care management meetings focussing on budget pressures</p> <p>Green Focus of regular LTP discussion</p> <p>Green Scrutiny reporting</p>

		Green	Task group led by Leader of the Council
<p>TG15: Reduction in Government funding affects service delivery</p> <p>Potential loss of funding affecting DCC service delivery in the event of changes made in the Comprehensive Spending Review and subsequent local government settlement given inflationary pressures in market and demographic growth.</p>	<p>Inherent status: 30 Very high</p> <p>Current status : 30 Very high</p> <p>Risk owner: Tim Golby</p> <p>Accountable officer: Jennie Stephens</p> <p>Last review: 24 Oct 2016</p>	<p>Green</p> <p>Option of 2% precept</p> <p>Amber</p> <p>Increase in BCF funding</p>	
<p>KS9: Budget pressures and management</p> <p>Due to rising demand and cost pressures there is significant risk of financial overspend in current and future years.</p>	<p>Inherent status: 25 Very high</p> <p>Current status : 25 Very high</p> <p>Risk owner: Keri Storey</p> <p>Accountable officer: Keri Storey</p> <p>Last review: 24 Oct 2016</p>	<p>Amber</p> <p>Rigorous action monitoring of financial recovery plans for each service area. LD a particular issue</p> <p>Amber</p> <p>Learning disability financial pressures under review</p> <p>Amber</p> <p>NHS interface regarding funding issues under review</p>	
<p>TG29: Budget Management</p> <p>That a broader corporate overview of timing, impact or scope of service or policy changes gives rise to review or reconsideration of proposals</p>	<p>Inherent status: 25 Very high</p> <p>Current status : 25 Very high</p> <p>Risk owner: Tim Golby</p> <p>Accountable officer: Jennie Stephens</p> <p>Last review: 24 Oct 2016</p>	<p>Green</p> <p>Thoroughness on consultation on proposals</p> <p>Green</p> <p>Thorough impact and risk assessment of plans and policy change</p>	
<p>IO22: Increasing costs and challenges</p> <p>Increasing costs and challenges securing education and care placements for children with additional and complex needs due to a reducing market, stronger regulation and difficulty delivering personalised services for individual children.</p>	<p>Inherent status: 25 Very high</p> <p>Current status : 25 Very high</p> <p>Risk owner: Sarah Aggett</p> <p>Accountable officer: Fiona Fitzpatrick</p> <p>Last review: 13 May 2016</p>	<p>Completed</p> <p>Placement Strategy</p> <p>Green</p> <p>Peninsular Framework Agreement</p> <p>Amber</p> <p>Single Education Health and Care Plans</p> <p>Amber</p> <p>Greater efficiency re joint agency placements</p>	
	<p>Inherent status: 25 Very high</p>	<p>Red</p> <p>Service audits</p>	

<p><u>SC17: The introduction of a joint Ofsted/CQC framework of services to support cyp with SEND</u></p> <p>From May 2016 introduces new legislation across local authority and partner services, with work required to ensure that services are at least 'good' as defined by standards within the inspection framework and that the authority is ready to facilitate an inspection.</p>	<p>Current status : 25 Very high Risk owner: Sarah Aggett Accountable officer: Sue Clarke Last review: 21 May 2016</p>	
<p><u>TG11: Market Capacity: Adult Social Care (Personal Care)</u></p> <p>The supply of personal care of the right quality is currently stretched in some parts of Devon increasing the risk that we cannot maintain all people who require it safely in their own homes, achieve safe discharge from hospital and with the potential to increase admissions to residential and nursing care.</p>	<p>Inherent status: 30 Very high Current status : 24 Very high Risk owner: Tim Golby Accountable officer: Ian Hobbs Last review: 24 Oct 2016</p>	<p>Green Refresh of the Adult Social Care Services Market Position Statement</p> <p>Green Provider Engagement Network</p> <p>Green Performance monitoring of call off against the Framework Agreement</p> <p>Green Work with providers to address capacity shortfall</p> <p>Green Reprourement of personal care via new framework: contracts to be awarded in march, transition to new arrangements in June.</p> <p>Green Investigation of new solutions/new way of working</p> <p>Green Weekly SITREPS and escalation</p> <p>Green Provider of last resort option.</p>
<p><u>TG30: Short term intervention</u></p> <p>Risk that under developed short term service solutions leads to increased demand for long term services adversely impacting on costs</p>	<p>Inherent status: 25 Very high Current status : 20 High Risk owner: Tim Golby Accountable officer: Jennie</p>	<p>Green Demand management work on short term services offer</p> <p>Green Front door modelling</p>

	Stephens Last review: 24 Oct 2016	Green North Devon: initial service model in conjunction with partners
IO9: Devon Safeguarding Children Board DSCB lacks effectiveness to drive forward improvements to services for children, young people and families. (Previously RM7)	Inherent status: 25 Very high Current status : 20 High Risk owner: Nicky Scutt Accountable officer: Fiona Fitzpatrick Last review: 13 May 2016	Green Regional Sub-boards to encourage more emphasis on service improvement. Green Post-Ofsted Action Plan Completed New interim chair of DSCB appointed. Green Reporting formats being revised to better support decision making
KS14: Deprivation of Liberties (DoLS) and Court of Protection (CoP) Supreme Court ruling presents a significant financial risk due to the likely increase in DoLS and CoP assessments required and associated legal costs.	Inherent status: 25 Very high Current status : 20 High Risk owner: Sarah MacKereth Accountable officer: Jennie Stephens Last review: 24 Oct 2016	Green Prioritised plan in place in adult services to address both res/nursing/hospital and community deprivations Green CLT endorsed approach and additional posts Green Ongoing review of performance at SLT and scrutiny ctte on request Green Law Commission review due to report by end 2016. Realistically DCC is unlikely to authorise any significant proportion of the outstanding res/nursing or community deprivations prior to legislation/policy change
TG27: Mental Health Services redesign with Devon Partnership Trust Adult mental health services performance and fundamentals of service are currently under review as there is potential that the needs of service users, including adults and families, are not being met in a timely and appropriate manner	Inherent status: 25 Very high Current status : 20 High Risk owner: Sarah Aggett Accountable officer: Tim Golby Last review: 24 Oct 2016	Green a) Project in place to review future adult mental health delivery Red b) Possible recommissioning of service following review

<p>SC1: School Transport</p> <p>Rising overspend on home to school transport is having a deleterious effects on Education and Learning Core budgets and initiatives which are currently absorbing the substantial overspend. Action to address overspend has had limited short term impact against rising costs due to increased expectations and the complexity of individual transport requested.</p>	<p>Inherent status: 30 Very high Current status : 20 High Risk owner: Sarah Aggett Accountable officer: Sue Clarke Last review: 09 Aug 2016</p>	<p>Amber Management actions within TCS including route and efficiency savings</p> <p>Green TCS monitoring and regular review across all areas of spend</p> <p>Green Policy regularly reviewed and adjusted to reduce areas of discretionary spend</p> <p>Green Actions identified through corporate transport project board</p>
<p>KS19: Continuing Health Care (CHC)</p> <p>Significant delays in assessments and determinations of CHC eligibility leading to operational inefficiencies, possible clinical risk if people with primary care needs are not being appropriately case managed by NHS professionals; and financial risk to the Council as well as impact on individuals and families.</p>	<p>Inherent status: 30 Very high Current status : 20 High Risk owner: Keri Storey Accountable officer: Jennie Stephens Last review: 24 Oct 2016</p>	<p>Amber Issues escalated to NEW Devon CCG and some actions agreed which may mitigate.</p> <p>Amber Formal disputes now being raised and Draft Disputes Protocol in use.</p> <p>Green Further training for staff planned in PPA</p> <p>Green Learning Disabilities nurses transferred back to NHS</p> <p>Completed Discussions underway with CCG to consider future 4 week funding arrangements</p> <p>Completed NEW Devon supporting work on 2015.16 cases that were delayed in assessment or panel decisions.</p>
<p>TG31: NHS Regonfiguration</p> <p>Risk that changes to the NHS delivery model that are being developed in the Devon system could impact adversely on the social care business generating additional demand for adult social care services.</p>	<p>Inherent status: 25 Very high Current status : 20 High Risk owner: Tim Golby Accountable officer: Jennie</p>	<p>Green Joint Commissioning</p> <p>Green STP engagement</p> <p>Green RD&E Business Case</p>

	Stephens Last review: 24 Oct 2016	Green Early intervention with health partners Green Wider Council commissioning 'ask'
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10 Nov 2016

